01-09-04

HDP/SB/21 based on PTO/SB/21 (08-00) Please type a plus sign (+) inside this box -> [+] Application Number 10/667,555 **TRANSMITTAL** 09/22/2003 Filing Date **FORM** First Named Invent r Dirk DeGronckel, et al. to be used for all correspondence after initial filing) Group Art Unit 3683 **Examiner Name** Unknown Total Number of Pages in This Submission Attorney Docket Number 1316N-001662 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Return Receipt Postcard. Terminal Disclaimer Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) The Commissioner is hereby authorized to charge any Certified Copy of Priority additional fees that may be required under 37 CFR 1.16 or 1.17 Document(s) Remarks to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Reg. No. Firm Attorney Name Harness, Dickey & Pierce, P.L.C. Michael J. Schmidt 34,007 Individual name Signature Date January 8, 2004 CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below. Typed or printed name Michael J. Schmidt Express Mail Label No. Signature Date January 8, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/667,555

Filing Date:

09/22/2003

Applicant:

Dirk DeGronckel, et al.

Group Art Unit:

3683

Examiner:

Unknown

Title:

ADJUSTABLE DAMPER WITH VALVE MOUNTED IN-

LINE

Attorney Docket:

1316N-001662

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

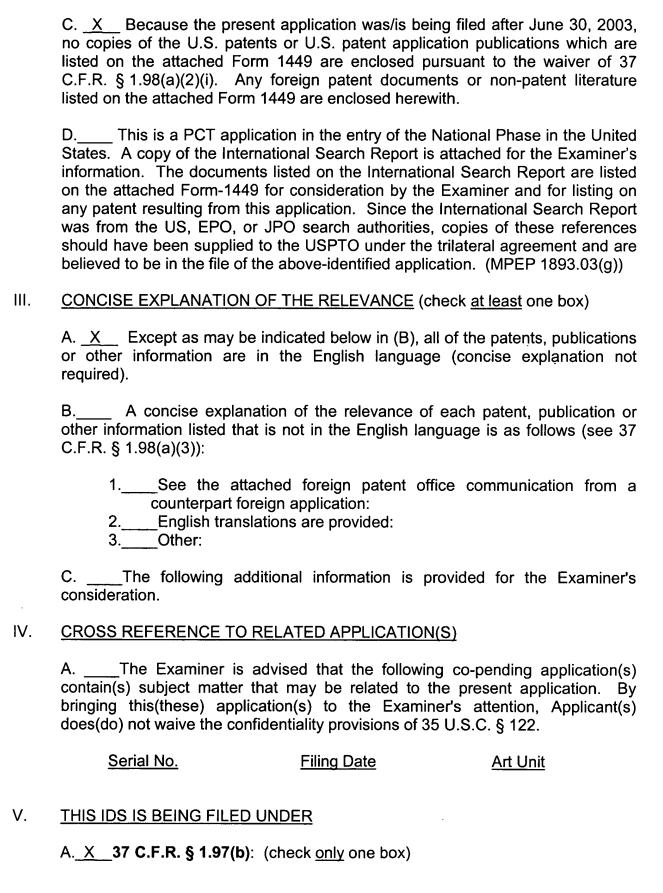
The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

II. COPIES

A Sı	ubmitted herewith is a legible copy of (i) each U.S. patent application
publication	and U.S. and foreign patent; (ii) each publication or that portion which
caused it to	to be listed; (iii) for each cited pending U.S. application, the application
specification	on including the claims, and any drawing of the application which
caused it	to be listed including the claims directed to that portion; and (iv) all
other inforr	mation or that portion which caused it to be listed.

B. ____ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Filing Date



than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.
2 within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.
3. X before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).
4 before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required.
B37 C.F.R. § 1.97(c): (check only one box)
before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.
1No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
2See the certification below. No fee is required.
C37 C.F.R. § 1.97(d):
after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.
1See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)
The undersigned hereby certifies that:
A each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F. R. 1.704(d) below in section VII, if applicable; or

VI.

B no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application,
and, to the knowledge of the undersigned after making reasonable inquiry, no
item of information contained in this IDS was known to any individual designated
in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See
37 C.F.R. § 1.97(e)(2)).
C Same of the items of information were first sited in a communication from
CSome of the items of information were first cited in a communication from
a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication
that each item of information contained in this IDS was cited in a confindincation

C. ____Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

VII. STATEMENT UNDER 37 CFR 1.704(d)

The undersigned hereby states that:

each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS.

VIII. PAYMENT OF FEES (check only one box)

A. ____ A check in the amount of \$180.00 is enclosed for the above-identified fee.

B. ____Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

Respectfully submitted,

Dated: January 8, 2004

Michael J Schmidt, 34,007

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

MJS/pmg



M HDP-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 1

ATTORNEY DOCKET NO.	SERIAL NO.
1316N-001662	10/667,555
APPLICANT	
Dirk DeGronckel, et al.	
FILING DATE	GROUP
09/22/2003	3683

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
, 1.		4,614,255	09/1986	Morita, et al.		
2.		4,834,088	05/1989	Jeanson		
* 3.		5,027,927	07/1991	Bacardit		
4.		5,070,970	12/1991	Johnston, et al.		
5.		5,148,896	09/1992	Ralph		
6.		5,246,092	09/1993	Yamaoka		
7.		5,279,480	01/1994	Derrien		
8.		5,293,969	03/1994	Yamaoka, et al.		
9.		5,386,893	02/1995	Feigel		
10.		5,464,079	11/1995	Lohberg, et al.		
11.		5,788,031	08/1998	Saito		
12.		5,799,758	09/1998	Huang		
13.		5,860,631	01/1999	Feigel		
14.		5,924,528	07/1999	Vermolen, et al.		

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes No	
1.							

		
Examiner:	Date Considered:	

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.